



Statement for Self-certified Sophisticated Investor

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

I understand this means:

- a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority;
- b) The content of such financial promotions may not conform to rules issued by the Financial Services Authority;
- c) By signing this statement I may lose significant rights;
- d) I may have no right to complain to either of the following –
 - i. the Financial Services Authority; or
 - ii. the Financial Ombudsman Scheme;
- e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies:

- a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- b) I have made more than one investment in an unlisted company in the two years prior to the date below;
- c) I am working, or have worked in the last two years prior to the date below, in a professional
- d) capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
- e) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of a least £1million.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Name Date

Signature